

United States Senate

Financial Disclosures

Candidate Report

Dr. Mehmet C Oz

State of Candidacy: PA | Candidacy Commenced: 11/30/2021

📁 Filed 08/13/2022 @ 8:35 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **Yes**

#	Date	Activity	Amount	Who Paid?	Who received payment?	Comments
1	01/11/2021	Appearance	\$271.70	Warner Bros. Pictures Inc. Burbank, CA	Self	Filer received payment through Oz Works LLC.
2	03/22/2021	Appearance	\$268,701.00	Jeopardy Quadra Productions Culver City, CA	A Charity	Appearance from 3/22/21 through 4/2/21 (Guest Host)
3	06/17/2021	Appearance	\$448.70	KING WORLD PRODUCTIONS INC New York, New York	Self	Filer received payment through Oz Works LLC.
4	12/31/2021	Appearance	\$23,312.10	Cameo Chicago, IL	A Charity	Represents total payments to HealthCorps for multiple personalized video clips by filer over the reporting period.
5	06/06/2022	Appearance	\$897.40	SPE Inc. on Behalf of CPT Hold New York, NY	Spouse	Filer received payment through Oz Works LLC.
6	05/23/2022	Appearance	\$512.80	SPE Inc. on Behalf of CPT Hold New York, NY	Spouse	Filer received payment through Oz Works LLC.
7	02/23/2022	Appearance	\$897.40	SPE Inc. on Behalf of CPT Hold New York, NY	Spouse	Filer received payment through Oz Works LLC.
8	05/16/2022	Appearance	\$276.00	SPE Inc. On Behalf of CPT Hold New York, NY	Self	Filer received payment through Oz Works LLC

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Royalties	Columbia University New York, NY	\$405,518.15	Royalties derived from Patent License Agreement between Columbia University and Abbott for MitraClip.
2	Self	Salary	Zoco Productions, LLC Wilmington, DE	\$2,000,000.00	The Dr. Oz Show Host salary
3	Self	Salary	Zoco Productions, LLC Wilmington, DE	\$203,788.84	n/a
4	Self	Salary	Oz Works, LLC Cliffside Park, NJ	\$350,000.00	Consultant salary
5	Spouse	Salary	Oz Works, LLC Cliffside Park, NJ	> \$1,000	Consultant salary
6	Self	Other (Residuals)	GEP Talent Burbank, CA	\$996.40	Residuals for multiple appearances by filer and spouse paid by various production companies (as disclosed on part 1) thru GEP to Oz Works LLC.
7	Self	Royalties	Wink Books Tiburon, CA	\$1,146.18	n/a
8	Spouse	Other (Residuals)	GEP Talent Burbank, CA	> \$1,000	Payments for appearances by filer's spouse paid to Oz Works LLC.
9	Self	Member Draw	Oz Media, LLC Wilmington, DE	\$7,219,777.35	Filer's share of income derived from ownership interest in Oz Media LLC through Oz Property Holdings.
10	Spouse	Member Draw	Oz Media, LLC Wilmington, DE	> \$1,000	Spouse's share of income derived from ownership interest in Oz Media LLC through Oz Property Holdings.

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	JP Morgan Chase (New York, NY) <i>Type: Savings,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
2	JP Morgan Chase (New York, NY) <i>Type: Checking,</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
3	Columbia Voluntary Retirement Savings Plan FBO Mehmet Oz	Brokerage/Managed Account	Joint			
3.1	<u>VIVIX</u> - Vanguard Index Trust Vanguard Value Index Fund I	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
3.2	<u>VDIGX</u> - Vanguard Specialized Portfolios Vanguard Divide	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
3.3	<u>VIIIIX</u> - Vanguard Institutional Index Fund Institutional	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
3.4	<u>VTTVX</u> - Vanguard Target Retirement 2025 Fund	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
4	Columbia Retirement Plan for Officers FBO Mehmet Oz	Brokerage/Managed Account	Self			
4.1	<u>VIVIX</u> - Vanguard Index Trust Vanguard Value Index Fund I	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
4.2	<u>VDIGX</u> - Vanguard Specialized Portfolios Vanguard Divide	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None, Excepted Investment Fund,	None (or less than \$201)
4.3	<u>VIIIIX</u> - Vanguard Institutional Index Fund Institutional	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
4.4	<u>VTTVX</u> - Vanguard Target Retirement 2025 Fund	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
5	Charles Schwab Brokerage Account	Brokerage/Managed Account	Joint			

	Asset	Asset Type	Owner	Value	Income Type	Income
5.1	Charles Schwab (San Francisco, CA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
6	Schwab Brokerage Tactical Account	Brokerage/Managed Account	Joint			
6.1	MMHIX - Mainstay Mackay High Yield Municipal Bond Fund	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
6.2	BHYIX - Blackrock High Yield Bond Portfolio - Institutio	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
6.3	PHIYX - Pimco Funds High Yield Fund Institutional Shares	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
6.4	Charles Schwab (San Francisco, CA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
6.5	Schwab Tax Exempt Money Value SWTXX	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Interest,	\$201 - \$1,000
6.6	Schwab Value Advantage Money Fund SWVXX	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Interest,	\$201 - \$1,000
7	Residential Real Estate <i>Description: Five Parcels/2 Homes (Sariyer, Turkey) Filer comment: Filer's share of jointly owned real estate based on tax assessment valuation and subject to pending litigation to resolve trust and estate dispute.</i>	Real Estate Residential	Self	\$250,001 - \$500,000	Rent/Royalties,	\$5,001 - \$15,000
8	Investment Property <i>Description: Residential Real Estate (Konya, Turkey) Filer comment: No tax assessment valuation due to free lease to Ministry of Education for student dorm & subject to pending trust and estate litigation.</i>	Real Estate Residential	Self	Unascertainable	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
9	Investment Property <i>Description:</i> Parcel (Datca, Turkey) <i>Filer comment:</i> Filer's share of jointly owned real estate based on tax assessment valuation and subject to pending litigation to resolve trust and estate dispute.	Real Estate Residential	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
10	Residential Real Estate <i>Description:</i> Residential Real Estate (Cliffside Park, NJ)	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
11	Residential Real Estate <i>Description:</i> Residential Real Estate (Cliffside Park, NJ)	Real Estate Residential	Spouse	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
12	Residential Property <i>Description:</i> House and acreage (Huntingdon Valley, PA)	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
13	Louwana Trust	Trust General Trust	Joint			
13.1	Louwana <i>Description:</i> Rental Property (Palm Beach, FL)	Real Estate Residential	Joint	\$5,000,001 - \$25,000,000	Rent/Royalties,	\$1,000,001 - \$5,000,000
13.2	Chase (New York, NY) <i>Type:</i> Checking,	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	None (or less than \$201)
13.3	Chase (Palm Beach, FL) <i>Type:</i> Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14	Emerald Oaks, LLC <i>Company:</i> Emerald Oaks, LLC (Okeechobee, FL) <i>Description:</i> Holding Company for Real Estate	Business Entity Limited Liability Company (LLC)	Joint			
14.1	Emerald Oaks <i>Description:</i> Cattle Farm (Okeechobee, FL) <i>Filer comment:</i> Valuation based on date of purchase 12/21	Farm	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
14.2	Livestock <i>Description: Cattle</i>	Personal Property Other	Joint	\$250,001 - \$500,000	Other, (Cattle Sale)	Other \$75,580.00
14.3	Chase (New York, NY) <i>Type: Checking,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
15	Oz Family 2014 Insurance Trust	Trust General Trust	Spouse			
15.1	Life Insurance Policy <i>Provider: Penn Mutual</i>	Life Insurance Whole	Spouse	\$5,000,001 - \$25,000,000	None,	None (or less than \$201)
15.2	Life Insurance Policy <i>Provider: Minnesota Life Insurance Company</i>	Life Insurance Whole	Spouse	\$5,000,001 - \$25,000,000	None,	None (or less than \$201)
15.3	Life Insurance Policy <i>Provider: Prudential Life Insurance</i>	Life Insurance Whole	Spouse	\$5,000,001 - \$25,000,000	None,	None (or less than \$201)
16	CUE BALL CAPITAL, LP <i>Description: Pooled Investment Fund/Venture Capital Fund (Boston, MA)</i>	Investment Fund Private Equity Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund, Other, (Partnership income)	None (or less than \$201) Other -\$16,798.00
17	Asplundh Tree Expert Holding Co <i>Company: Asplundh Tree Expert Holding Co (Willow Grove, PA) Description: Tree Trimming Business</i>	Corporate Securities Non-Public Stock	Joint	\$5,000,001 - \$25,000,000	Dividends,	\$100,001 - \$1,000,000
18	Asplundh Tree Expert Holding Co <i>Company: Asplundh Tree Expert Holding Co (Willow Grove, PA) Description: Tree Trimming Business</i>	Corporate Securities Non-Public Stock	Spouse	\$5,000,001 - \$25,000,000	Dividends,	\$100,001 - \$1,000,000
19	Asplundh Tree Expert Holding Co <i>Company: Asplundh Tree Expert Holding Co (Willow Grove, PA) Description: Tree Trimming Business</i>	Corporate Securities Non-Public Stock	Self	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
20	Radius Venture Partners III, LLC <i>Company:</i> Radius Venture Partners III, LLC (New York, NY) <i>Description:</i> Venture Capital Fund <i>Filer comment:</i> Alternative disclosure letter on file with Committee. Filer/spouse have no authority to direct/control investment.	Business Entity Limited Liability Company (LLC)	Self	\$15,001 - \$50,000	None,	
21	Wawa, Inc. <i>Company:</i> Wawa, Inc. (Wawa, PA) <i>Description:</i> Gas Station/ Convenience Store Chain	Corporate Securities Non-Public Stock	Joint	\$5,000,001 - \$25,000,000	Dividends,	\$1,000,001 - \$5,000,000
22	Wawa, Inc. <i>Company:</i> Wawa, Inc. (Wawa, PA) <i>Description:</i> Gas Station/ Convenience Store Chain	Corporate Securities Non-Public Stock	Spouse	\$1,000,001 - \$5,000,000	Dividends,	\$100,001 - \$1,000,000
23	Yet2ventures Fund II GP, LP <i>Company:</i> Yet2ventures Fund II GP, LP (Wilmington, DE) <i>Description:</i> Venture Capital Fund	Business Entity Limited Partnership (LP)	Spouse			
23.1	yet2Ventures Fund II, LP <i>Company:</i> yet2Ventures Fund II, LP (Wilmington, DE) <i>Description:</i> Pooled Investment Fund	Business Entity Limited Partnership (LP)	Spouse			
23.1.1	Non Public Stock <i>Company:</i> Ecrio Inc. (Cupertino, CA) <i>Description:</i> communications software company	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
24	Zoco Holdings GP, LLC <i>Company:</i> Zoco Holdings GP, LLC (Dover, DE) <i>Description:</i> Investment Holding Company	Business Entity Limited Liability Company (LLC)	Joint			

	Asset	Asset Type	Owner	Value	Income Type	Income
24.1	Zoco Holdings LP <i>Company:</i> Zoco Holdings LP (Dover, DE) <i>Description:</i> investment holding company <i>Filer comment:</i> Asset numbering below starts at 24.1.6 due to software issue.	Business Entity Limited Partnership (LP)	Joint			
24.1.6	TQ Ventures II, LP <i>Company:</i> TQ Ventures II, LP (Dover, Delaware) <i>Description:</i> Venture Capital Fund <i>Filer comment:</i> Alternative disclosure letter on file with Committee. Filer/spouse have no authority to direct/control investment.	Business Entity Limited Partnership (LP)	Joint	\$1,000,001 - \$5,000,000	None,	
24.1.7	NuMilk <i>Company:</i> NuMilk (Wilmington, Delaware) <i>Description:</i> Beverage Company	Business Entity Limited Liability Company (LLC)	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
24.1.8	Concensus Partners, LLC <i>Company:</i> Concensus Partners, LLC (Wilmington, Delaware) <i>Description:</i> Holding Company	Business Entity Limited Liability Company (LLC)	Joint			
24.1.8.1	Intrinsic Brands Inc. <i>Company:</i> Intrinsic Brands Inc. (Wilmington, Delaware) <i>Description:</i> Health & Wellness Ecommerce Brands	Corporate Securities Non-Public Stock	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
24.1.9	Trademarks & Certain IP Name and Likeness <i>Description:</i> Trademarks & Certain IP Name and Likeness	Intellectual Property Copyrights	Joint	Unascertainable	None,	None (or less than \$201)
24.1.10	License Agreement <i>Company:</i> CVB, Inc. d/b/a Malouf (Logan, Utah)	Accounts Receivable From a Business	Joint	Unascertainable	Capital Gains,	\$1,000,001 - \$5,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
25	Rede4-Nanopack Investors, LLC <i>Company:</i> Rede4-Nanopack Investors, LLC (Wilmington, DE) <i>Description:</i> Holds Investment in material science container and packaging business	Business Entity Limited Liability Company (LLC)	Self			
25.1	Non Public Stock <i>Company:</i> NanoPack Inc. (Wayne, PA) <i>Description:</i> Material science company	Corporate Securities Non-Public Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
26	Utah System of Higher Education <i>Institution:</i> Utah System of Higher Education	Education Savings Plans 529 College Savings Plan	Self			
26.1	UTVLX - My529 Institutional Index Investment Option	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
26.2	UTFIX - My529 Fdic-Insured Portfolio Investment Option	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
26.3	UTVMX - My529 Mid Cap Index Investment Option	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
26.4	UTVGX - My529 Growth Index Investment Option	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
26.5	UTVBX - My529 Total Bond Market Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
26.6	UTVSX - My529 Small Cap Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
26.7	My529 Stable Value UTPSVX	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
26.8	UTVDX - My529 Developed Markets Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
26.9	UTVEX - My529 Emerging Markets Stock Index Investment Op	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
26.10	UTVAX - My529 Short-Term Investment-Grade Investment Opt	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
26.11	UTVWX - My529 International Growth Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
27	Utah System of Higher Education <i>Institution: Utah System of Higher Education</i>	Education Savings Plans 529 College Savings Plan	Self			
27.1	UTVLX - My529 Institutional Index Investment Option	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
27.2	UTFIX - My529 Fdic-Insured Portfolio Investment Option	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
27.3	UTVMX - My529 Mid Cap Index Investment Option	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
27.4	UTVGX - My529 Growth Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
27.5	UTVBX - My529 Total Bond Market Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
27.6	UTVSX - My529 Small Cap Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
27.7	My529 Stable Value UTPSVX	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
27.8	UTVDX - My529 Developed Markets Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
27.9	UTVEX - My529 Emerging Markets Stock Index Investment Op	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
27.10	UTVAX - My529 Short-Term Investment-Grade Investment Opt	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
27.11	my529 International Growth UTVWX	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
28	Utah System of Higher Education <i>Institution:</i> Utah System of Higher Education <i>Filer comment:</i> Nica	Education Savings Plans 529 College Savings Plan	Self			
28.1	UTVLX - My529 Institutional Index Investment Option	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
28.2	my529 FDIC Insured UTFIX	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
28.3	UTVMX - My529 Mid Cap Index Investment Option	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
28.4	UTVGX - My529 Growth Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
28.5	UTVBX - My529 Total Bond Market Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
28.6	UTVSX - My529 Small Cap Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
28.7	My529 Stable Value UTPSVX	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
28.8	UTV DX - My529 Developed Markets Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
28.9	UTV EX - My529 Emerging Markets Stock Index Investment Op	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
28.10	UTV AX - My529 Short-Term Investment-Grade Investment Opt	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
28.11	my529 International Growth UTVWX	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29	Utah System of Greater Education <i>Institution: Utah System of Greater Education</i>	Education Savings Plans 529 College Savings Plan	Self			
29.1	UTV LX - My529 Institutional Index Investment Option	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
29.2	my529 FDIC Insured UTFIX	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
29.3	UTV MX - My529 Mid Cap Index Investment Option	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
29.4	UTV GX - My529 Growth Index Investment Option	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
29.5	UTV BX - My529 Total Bond Market Index Investment Option	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
29.6	UTV SX - My529 Small Cap Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
29.7	UTVDX - My529 Developed Markets Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.8	UTVEX - My529 Emerging Markets Stock Index Investment Op	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.9	UTVAX - My529 Short-Term Investment-Grade Investment Opt	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.10	UTVWX - My529 International Growth Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
30	Utah System of Greater Education <i>Institution: Utah System of Greater Education</i>	Education Savings Plans 529 College Savings Plan	Self			
30.1	UTFIX - My529 Fdic-Insured Portfolio Investment Option	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
30.2	529 Stable Value Fund UTPSVX	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
30.3	UTVLX - My529 Institutional Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
30.4	my529 Mid Cap Index UTMVMX	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
30.5	UTVGX - My529 Growth Index Investment Option	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
30.6	my529 Developed Markets UTVDX	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
30.7	my529 Emerging Markets Stock Index UTVEX	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
30.8	my529 International Growth UTVWX	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
31	Mehmet Oz Schwab IRA Rollover	Retirement Plans IRA	Self			
31.1	AMZN - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
31.2	Charles Schwab (Huntingdon Valley, PA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
31.3	SWVMM - Schwab Value Advantage Money Fund	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Interest,	None (or less than \$201)
32	Bessemer Brokerage	Brokerage/Managed Account	Joint			
32.1	OWMBX - Old Westbury Municipal Bond Fund	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
32.2	GOOG - Alphabet Inc. - Class C Capital Stock	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
32.3	CHTR - Charter Communications, Inc. - Class A Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.4	APTV - Aptiv PLC Ordinary Shares	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
32.5	AMZN - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
32.6	HLT - Hilton Worldwide Holdings Inc. Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
32.7	HD - Home Depot, Inc. (The) Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
32.8	MELI - MercadoLibre, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.9	NKE - Nike, Inc. Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
32.10	<u>ROST</u> - Ross Stores, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
32.11	<u>PEP</u> - PepsiCo, Inc. - Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.12	<u>COP</u> - ConocoPhillips Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.13	<u>PXD</u> - Pioneer Natural Resources Company Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.14	<u>AON</u> - Aon plc Class A Ordinary Shares (Ireland)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
32.15	<u>BAC</u> - Bank of America Corporation Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.16	<u>BX</u> - Blackstone Inc. Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.17	<u>C</u> - Citigroup, Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
32.18	<u>JPM</u> - JP Morgan Chase & Co. Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.19	<u>MSCI</u> - MSCI Inc Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.20	<u>SPGI</u> - S&P Global Inc. Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
32.21	<u>SCHW</u> - Charles Schwab Corporation (The) Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.22	<u>CTLT</u> - Catalent, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.23	<u>DHR</u> - Danaher Corporation Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
32.24	<u>IQV</u> - IQVIA Holdings, Inc. Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
32.25	TMO - Thermo Fisher Scientific Inc Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
32.26	UNH - UnitedHealth Group Incorporated Common Stock (DE)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.27	ZTS - Zoetis Inc. Class A Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
32.28	ETN - Eaton Corporation, PLC Ordinary Shares	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.29	CTAS - Cintas Corporation - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.30	NOC - Northrop Grumman Corporation Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.31	UNP - Union Pacific Corporation Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.32	ADBE - Adobe Inc. - Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
32.33	APH - Amphenol Corporation Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
32.34	AAPL - Apple Inc. - Common Stock	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
32.35	MSFT - Microsoft Corporation - Common Stock	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
32.36	NOW - ServiceNow, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None, Dividends,	None (or less than \$201)
32.37	TXN - Texas Instruments Incorporated - Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.38	V - Visa Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.39	APD - Air Products and Chemicals, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
32.40	VMC - Vulcan Materials Company (Holding Company) Common	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
32.41	AMT - American Tower Corporation (REIT) Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
32.42	AEE - Ameren Corporation Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
32.43	AWK - American Water Works Company, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.44	SE - Sea Limited American Depository Shares	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.45	OHAU - LVMH MOET-HENNESSY LOUIS VUITTON EURO.30	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
32.46	OIU8 - SAFRAN S.A. EURO.20	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.47	ASML - ASML Holding N.V. - New York Registry Shares	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
32.48	OWLSX - Old Westbury Large Cap Strategies Fund	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$201 - \$1,000
32.49	MTCH - Match Group, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.50	DOL - Dollarama Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.51	AAP - Advance Auto Parts Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.52	BURL - Burlington Stores, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.53	DMPKOS - DOMINOS PIZZA ENTERPRISES LIMITED	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.54	EXPE - Expedia Group, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.55	WH - Wyndham Hotels & Resorts, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.56	USFD - US Foods Holding Corp. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None, Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
32.57	<u>NDAQ</u> - Nasdaq, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.58	<u>STE</u> - STERIS plc (Ireland) Ordinary Shares	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.59	<u>COO</u> - The Cooper Companies, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.60	<u>LH</u> - Laboratory Corporation of America Holdings Common	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.61	<u>TFX</u> - Teleflex Incorporated Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.62	<u>CSL</u> - Carlisle Companies Incorporated Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.63	<u>DOV</u> - Dover Corporation Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.64	<u>EFX</u> - Equifax, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.65	<u>HEI</u> - Heico Corporation Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.66	<u>IAA</u> - IAA, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.67	<u>LECO</u> - Lincoln Electric Holdings, Inc. - Common Shares	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.68	<u>AOS</u> - A.O. Smith Corporation Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.69	<u>NICE</u> - NICE Ltd - American Depositary Shares each represe	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.70	<u>ANSS</u> - ANSYS, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.71	<u>AZPN</u> - Aspen Technology, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.72	<u>CDW</u> - CDW Corporation - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
32.73	PAYC - Paycom Software, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.74	SSNC - SS&C Technologies Holdings, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.75	SNPS - Synopsys, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.76	WEX - WEX Inc. common stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.77	JLL - Jones Lang LaSalle Incorporated Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
32.78	OWSMX - Old Westbury Small & Mid Cap Strategies Fund	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
32.79	DG - Dollar General Corporation Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.80	COST - Costco Wholesale Corporation - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32.81	NVDA - NVIDIA Corporation - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
32.82	PLD - Prologis, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
33	SHCR - Sharecare, Inc. - Class A Common Stock	Corporate Securities Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends,	None (or less than \$201)
34	EJLemole 2013 Trust FBO Lisa Oz	Trust General Trust	Spouse			

	Asset	Asset Type	Owner	Value	Income Type	Income
34.1	Asplundh Shares <i>Company: Asplundh (Willow Grove, PA) Description: Tree Trimming Company</i>	Corporate Securities Non-Public Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends,	\$50,001 - \$100,000
34.2	IWB - iShares Russell 1000 ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Dividends,	\$2,501 - \$5,000
34.3	AMZN - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
34.4	JMST - JPMorgan Ultra-Short Municipal Income ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Interest,	\$201 - \$1,000
34.5	SCHD - Schwab US Dividend Equity ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
34.6	AAPL - Apple Inc. - Common Stock	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
34.7	MSFT - Microsoft Corporation - Common Stock	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
34.8	SOXX - iShares PHLX SOX Semiconductor Sector Index Fund	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
34.9	VDADX - Vanguard Dividend Appreciation Index Fund Admira	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
34.10	Wisdomtree Cloud Computing Cf (WCLD)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
34.11	IJH - iShares Core S&P Mid-Cap ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
34.12	BX - Blackstone Inc. Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
34.13	iShares Russell 2000 ETF (IWM)	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
34.14	iShares Core S&P SmallCap ETF (IJR)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
34.15	<u>BSNIX</u> - Baird Strategic Municipal Bond Fund Institutional	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Interest, Capital Gains,	\$2,501 - \$5,000
34.16	<u>WCMRX</u> - WCM Focused International Growth Fd Inv Cl	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Dividends,	None (or less than \$201)
34.17	<u>NBIIX</u> - Neuberger Berman International Equity Fd Inst Cl	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
34.18	<u>CCSGX</u> - Conestoga Smid Cap Fund Institutional Class	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
34.19	<u>GHYIX</u> - Goldman Sachs High Yield Municipal Fd Inst Cl	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
34.20	Charles Schwab (Huntingdon Valley, PA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
34.21	<u>ODVIX</u> - Invesco Oppenheimer Developing Markets Fund Clas	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
34.22	<u>GSIEIX</u> - Goldman Sachs International Equity ESG Fund - IN	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
34.23	<u>JHEQX</u> - Jpmorgan Hedged Equity Fund I Class	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
34.24	<u>BCGIX</u> - Brandywineglobal Corporate Credit Fund Class I	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
34.25	<u>VUG</u> - Growth ETF Vanguard	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
34.26	<u>GSIMX</u> - Goldman Sachs Gqq Partners International Opportu	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
34.27	<u>GQEIX</u> - Ggg Partners US Select Quality Equity Fund Insti	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
34.28	<u>EIPCX</u> - Parametric Commodity Strategy Fund Institutional	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Capital Gains,	\$5,001 - \$15,000
35	<u>EJLemole 2012 Trust FBO Lisa J Oz</u>	Trust General Trust	Spouse			
35.1	<u>Asplundh</u> <i>Company: Asplundh (Willow Grove, PA) Description: Tree Trimming</i>	Corporate Securities Non-Public Stock	Spouse	\$500,001 - \$1,000,000	Dividends,	\$50,001 - \$100,000
35.2	<u>Northern Trust</u> <i>(New York, NY) Type: Savings,</i>	Bank Deposit	Spouse	\$50,001 - \$100,000	Interest,	None (or less than \$201)
35.3	<u>VDADX</u> - Vanguard Dividend Appreciation Index Fund Admira	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
35.4	<u>IWB</u> - iShares Russell 1000 ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
35.5	<u>AMZN</u> - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
35.6	<u>MSFT</u> - Microsoft Corporation - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
35.7	<u>SCHD</u> - Schwab US Dividend Equity ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
35.8	<u>JMST</u> - JPMorgan Ultra-Short Municipal Income ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
35.9	<u>AAPL</u> - Apple Inc. - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
35.10	<u>SOXX</u> - iShares Semiconductor ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
35.11	<u>VUG</u> - Growth ETF Vanguard	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
35.12	<u>WCLD</u> - WisdomTree Cloud Computing Fund	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
35.13	<u>IJH</u> - iShares Core S&P Mid- Cap	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
35.14	<u>BX</u> - Blackstone Inc. Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
35.15	<u>BSNIX</u> - Baird Strategic Municipal Bond Fund Institutiona	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Interest, Capital Gains,	\$201 - \$1,000
35.16	<u>WCMIX</u> - WCM Focused International Growth Fund Insti CI	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Interest,	None (or less than \$201)
35.17	<u>CCSGX</u> - Conestoga Smid Cap Fund Institutional Class	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
35.18	<u>NBIIX</u> - Neuberger Berman International Equity Fd Inst CI	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
35.19	<u>GHYIX</u> - Goldman Sachs High Yield Municipal Fd Inst CI	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
35.20	<u>GSIEIX</u> - Goldman Sachs International Equity ESG Fund - IN	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
35.21	<u>JHEQX</u> - Jpmorgan Hedged Equity Fund I Class	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
35.22	<u>ODVIX</u> - Invesco Oppenheimer Developing Markets Fund Clas	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
35.23	Charles Schwab (Huntingdon Valley, PA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
35.24	<u>BCGIX</u> - Brandywineglobal Corporate Credit Fund Class I	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
35.25	<u>GSIMX</u> - Goldman Sachs Ggg Partners International Opportu	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
35.26	<u>GQEIX</u> - Ggg Partners US Select Quality Equity Fund Insti	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
35.27	<u>EIPCX</u> - Parametric Commodity Strategy Fund Institutional	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
36	Morgan Stanley Brokerage	Brokerage/Managed Account	Joint			
36.1	<u>LMGNX</u> - Clearbridge International Growth Fund Class I	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
36.2	<u>DDVIX</u> - Delaware Value Fd CI I	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Capital Gains,	\$1,001 - \$2,500
36.3	<u>FKAIX</u> - Federated Kaufmann Small Cap Fund - Is Shares	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Capital Gains,	\$1,001 - \$2,500
36.4	<u>GLDIX</u> - Gabelli Gold Fund Inc. Class I	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Capital Gains,	\$15,001 - \$50,000
36.5	<u>ALFFX</u> - Lord Abbett Alpha Strategy Fd - CI F	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Capital Gains,	\$1,001 - \$2,500
36.6	<u>MICGX</u> - Mirae Asset Discovery Fds Emerging Markets Great	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
36.7	<u>PTOPX</u> - Pimco Stocksplus Absolute Return Fund Class I-2	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
36.8	<u>EMRYX</u> - Van Eck Emerging Markets Fund CI Y	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
36.9	<u>INIYX</u> - Van Eck International Investors Gold Fund CI Y	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
36.10	<u>ASPZX</u> - The Alger Fds II Alger Spectra Fund Class Z	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	None, Capital Gains,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
36.11	KLCIX - Federated Kaufman Large Cap Fund Is	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Capital Gains,	\$5,001 - \$15,000
37	Non Public Stock Sharecare Inc. <i>Company:</i> Sharecare Inc. (Atlanta, GA) <i>Description:</i> health and wellness company <i>Filer comment:</i> Sharecare went public on July 2, 2021 and non-public stock exchanged for income and public stock (see asset 33).	Corporate Securities Non-Public Stock	Spouse	None (or less than \$1,001)	Dividends,	\$1,000,001 - \$5,000,000
38	Charles Schwab Brokerage <i>Filer comment:</i> (Fidelity Brokerage Account assets transferred to CS Brokerage account; Fidelity Brokerage Account closed)	Brokerage/Managed Account	Joint			
38.1	FBNDX - Fidelity Investment Grade Bond Fund	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest, Capital Gains,	\$1,001 - \$2,500
38.2	Fidelity Government Cash Reserves (FDRXX)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest,	None (or less than \$201)
38.3	RPRX - Royalty Pharma plc - Class A Ordinary Shares14	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
38.4	ABT - Abbott Laboratories Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
38.5	ABBV - AbbVie Inc. Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
38.6	GOLD - Barrick Gold Corporation Common Stock (BC)	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
38.7	BX - Blackstone Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
38.8	BMJ - Bristol-Myers Squibb Company Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
38.9	CI - Cigna Corporation Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
38.10	<u>CVS</u> - CVS Health Corporation Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
38.11	<u>EW</u> - Edwards Lifesciences Corporation Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
38.12	<u>HCA</u> - HCA Healthcare, Inc. Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
38.13	<u>HTGC</u> - Hercules Capital, Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
38.14	<u>HOLX</u> - Hologic, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
38.15	<u>JNJ</u> - Johnson & Johnson Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
38.16	<u>NEM</u> - Newmont Corporation	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
38.17	<u>RVX</u> - Resverlogix Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
38.18	<u>TSLX</u> - Sixth Street Specialty Lending, Inc. Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
38.19	<u>UNH</u> - UnitedHealth Group Incorporated Common Stock (DE)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
38.20	Zenith Cap Corp (zhclf) <i>Filer comment: OTC Stock</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	None, Capital Gains,	None (or less than \$201)
38.21	<u>PZN</u> - Pzena Investment Management Inc Class A Common Sto	Corporate Securities Stock	Joint	None (or less than \$1,001)	None, Capital Gains,	None (or less than \$201)
38.22	<u>SBSW</u> - D/B/A Sibanye-Stillwater Limited ADS	Corporate Securities Stock	Joint	None (or less than \$1,001)	None, Capital Gains,	None (or less than \$201)
38.23	<u>MCK</u> - McKesson Corporation Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
38.24	<u>PFE</u> - Pfizer, Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
38.25	<u>PRILX</u> - Parnassus Core Equity Fund-Institutional Shares	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
38.26	<u>IYG</u> - iShares U.S. Financial Services ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
38.27	<u>KBE</u> - S&P Bank ETF SPDR	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
38.28	<u>KRE</u> - SPDR S&P Regional Banking ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
39	JP Morgan Joint Brokerage Account	Brokerage/Managed Account	Joint			
39.1	<u>XLF</u> - SPDR Select Sector Fund - Financial	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
39.2	<u>XLI</u> - S&P 500 Industrial Sector SPDR	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
39.3	<u>RSP</u> - Invesco S&P 500 Equal Weight ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
39.4	<u>CMIUX</u> - Six Circles Managed Equity Portfolio Internation	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends,	\$201 - \$1,000
39.5	<u>IVE</u> - iShares MSCI EAFE	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Dividends,	\$15,001 - \$50,000
39.6	<u>IWF</u> - Russell 1000 Growth Ishares ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Dividends, Capital Gains,	\$15,001 - \$50,000
39.7	<u>JPELX</u> - Jpmorgan Tax Aware Equity Fund Class R6	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
39.8	<u>CUSUX</u> - Six Circles US Unconstrained Equity Fund	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Capital Gains,	\$5,001 - \$15,000
39.9	<u>SPY</u> - S&P 500 SPDR	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
39.10	BBCA - Jpmorgan Betabuilders Canada ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000
39.11	CIUEX - Six Circles International Unconstrained Equity F	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Dividends, Capital Gains,	\$15,001 - \$50,000
39.12	CMIUX - Six Circles Managed Equity Portfolio Internation	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends,	\$15,001 - \$50,000
39.13	EFA - iShares MSCI EAFE ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
39.14	IEFA - EAFE Ishares Core MSCI ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
39.15	BBEU - Jpmorgan Betabuilders Europe ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
39.16	EWJ - Japan Ishares MSCI ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
39.17	BBAX - JPMorgan BetaBuilders Developed Asia- ex Japan ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000
39.18	IEMG - Emrg Mkts Ishares Core MSCI ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
39.19	FSPSX - Fidelity International Index Fund	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
39.20	JP Morgan (New York, NY) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
39.21	IWV - S&P 500 Growth Ishares ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000
39.22	IWD - Russell 1000 Value Ishares ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Dividends,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
39.23	CMEUX - Six Circles Managed Equity Portfolio U.S. Uncons	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$15,001 - \$50,000
40	JP Morgan Asset Account	Brokerage/Managed Account	Joint			
40.1	VSIEX - Jpmorgan International Equity Fund I Class	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
40.2	JP Morgan (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)
41	Yet2Ventures Fund II GP <i>Company: Yet2Ventures Fund II GP (Wilmington, DE) Description: GP of Pooled Fund</i>	Business Entity General Partnership	Spouse			
41.1	Yet2Ventures Fund II, LP <i>Company: Yet2Ventures Fund II, LP (Wilmington, DE) Description: Pooled Fund</i>	Business Entity Limited Partnership (LP)	Spouse			
41.1.1	Non Public Stock <i>Company: Ecrio, Inc. (Cupertino, CA) Description: communications software company</i>	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	Capital Gains,	\$5,001 - \$15,000
42	Zedsen Ltd. <i>Company: Zedsen Ltd. (London, UK) Description: Healthcare Technology</i>	Corporate Securities Non-Public Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
43	JP Morgan Chase (New York, NY) <i>Type: Checking,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
44	Investment Property <i>Description: Residential Real Estate (Cumberland Foreside, ME)</i>	Real Estate Residential	Spouse	\$500,001 - \$1,000,000	Rent/Royalties,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
45	Country House Limited LLC <i>Company:</i> Country House Limited LLC (Wilmington, DE) <i>Description:</i> Business entity that holds Dunbrody House	Business Entity Limited Liability Company (LLC)	Spouse			
45.1	Dunbrody House <i>Description:</i> Dunbrody House (Arthurstown, Ireland) <i>Filer comment:</i> This is a hotel & restaurant in Arthurstown, County Wexford, Ireland.	Real Estate Commercial	Spouse	\$500,001 - \$1,000,000	Capital Gains,	None (or less than \$201)
45.1.1	Charles Schwab Brokerage Account (Lemole Limited Partnership) <i>Filer comment:</i> Due to software issue, assets 45.1.1-45.1.3 should appear as 46.1.1-46.1.3 under Lemole Family Limited Partnership.	Brokerage/Managed Account	Joint			
45.1.1.1	AMZN - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
45.1.1.2	MSFT - Microsoft Corporation - Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
45.1.1.3	NVDA - NVIDIA Corporation - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
45.1.1.4	SOXX - iShares PHLX SOX Semiconductor Sector Index Fund	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
45.1.1.5	GS - Goldman Sachs Group, Inc. (The) Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
45.1.1.6	WCLD - WisdomTree Cloud Computing Fund	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
45.1.1.7	IVV - S&P 500 Ishares Core ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
45.1.1.8	WCMIX - WCM Focused International Growth Fund Insti Cl	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
45.1.1.9	IJH - iShares Core S&P Mid-Cap	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
45.1.1.10	SCHX - Schwab U.S. Large-Cap ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
45.1.1.11	F - Ford Motor Company Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
45.1.1.12	EIPCX - Parametric Commodity Strategy Fund Institutional	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
45.1.1.13	TIPWX - Bluerock Total Income + Real Estate Fund Class I	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
45.1.1.14	Charles Schwab - Lemole Limited Partners Sweep Account (San Francisco, CA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
45.1.1.15	AAPL - Apple Inc. - Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
45.1.2	Investment Property <i>Description: Apartment Condominium (New York, NY)</i>	Real Estate Residential	Joint	\$500,001 - \$1,000,000	Rent/Royalties,	\$100,001 - \$1,000,000
45.1.3	Investment Property <i>Description: Apartment Condominium #2 (New York, NY)</i>	Real Estate Residential	Joint	\$500,001 - \$1,000,000	Rent/Royalties,	\$50,001 - \$100,000
46	Lemole Investment Group <i>Company: Lemole Investment Group (Wilmington, DE) Description: Family owned investment group</i>	Business Entity Limited Liability Company (LLC)	Joint			

	Asset	Asset Type	Owner	Value	Income Type	Income
46.1	Lemole Family Limited Partnership <i>Company:</i> Lemole Family Limited Partnership (Wilmington, Delaware) <i>Description:</i> Family Owned Investment Group <i>Filer comment:</i> see assets 45.1.1-45.1.3	Business Entity Limited Partnership (LP)	Joint			
47	Oz Works, LLC <i>Company:</i> Oz Works, LLC (Wilmington, DE) <i>Description:</i> Loan-out company for the services of filer and spouse.	Business Entity Limited Liability Company (LLC)	Joint			
47.1	JP Morgan Chase (New York, NY) <i>Type:</i> Checking,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
47.2	JP Morgan Chase (New York, NY) <i>Type:</i> Savings,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
47.3.1	AAPL - Apple Inc. - Common Stock <i>Filer comment:</i> Due to software issue assets numbered 47.3.1-47.3.17 should appear as 48.3.1-48.3.17 under Schwab Brokerage -- Oz Property Holdings LLC.	Corporate Securities Stock	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$5,001 - \$15,000
47.3.2	AMZN - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
47.3.3	MSFT - Microsoft Corporation - Common Stock	Corporate Securities Stock	Joint	\$500,001 - \$1,000,000	Dividends,	\$2,501 - \$5,000
47.3.4	NVDA - NVIDIA Corporation - Common Stock	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends,	\$2,501 - \$5,000
47.3.5	SOXX - iShares PHLX SOX Semiconductor Sector Index Fund	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
47.3.6	TMO - Thermo Fisher Scientific Inc Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
47.3.7	WCLD - WisdomTree Cloud Computing Fund	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
47.3.8	IVV - iShares Core S&P 500	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
47.3.9	VUG - Growth ETF Vanguard	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
47.3.10	IJH - iShares Core S&P Mid-Cap	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
47.3.11	SCHX - Schwab U.S. Large-Cap ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
47.3.12	GOOG - Alphabet Inc. - Class C Capital Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
47.3.13	IJR - iShares Core S&P Small-Cap ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
47.3.14	VTMFX - Vanguard Tax-Managed Fund Balanced Fund	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Interest, Capital Gains,	\$100,001 - \$1,000,000
47.3.15	WCMIX - WCM Focused International Growth Fund Insti CI	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
47.3.16	FNWFX - New World Fund Inc. - Class F-3	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
47.3.17	Charles Schwab OPH Brokerage (San Francisco, CA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	None (or less than \$201)
47.4.1	XLFF - SPDR Select Sector Fund - Financial	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
47.4.2	XLI - SPDR Select Sector Fund - Industrial	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
47.4.3	RSP - Invesco S&P 500 Equal Weight ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
47.4.4	CMIUX - Six Circles Managed Equity Portfolio Internation	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
47.4.5	CMEUX - Six Circles Managed Equity Portfolio U.S. Uncons	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
47.4.6	BBCA - Jpmorgan Betabuilders Canada ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
47.4.7	FSPSX - Fidelity International Index Fund	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
47.4.8	BBEU - Jpmorgan Betabuilders Europe ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
47.4.9	BBJP - Jpmorgan Betabuilders Japan ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
47.4.10	BBAX - JPMorgan BetaBuilders Developed Asia Pacific-ex Ja	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
47.4.11	JP Morgan Brokerage (New York, New York) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
47.5.1	JP Morgan (OPH Brokerage 9007) (New York, New York) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
47.6.4	Oz Media Schwab Brokerage Account <i>Filer comment: Due to software issue assets 47.6.4-47.6.7 should appear as 48.6.4-48.6.7 under Oz Media LLC.</i>	Brokerage/Managed Account	Joint			

	Asset	Asset Type	Owner	Value	Income Type	Income
47.6.4.1	MSFT - Microsoft Corporation - Common Stock	Corporate Securities Stock	Joint	\$1,000,001 - \$5,000,000	Dividends,	\$5,001 - \$15,000
47.6.4.2	AAPL - Apple Inc. - Common Stock	Corporate Securities Stock	Joint	\$500,001 - \$1,000,000	Dividends,	\$2,501 - \$5,000
47.6.4.3	AMZN - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
47.6.4.4	UNH - UnitedHealth Group Incorporated Common Stock (DE)	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends,	\$2,501 - \$5,000
47.6.4.5	SOXX - iShares Semiconductor ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
47.6.4.6	VTI - Vanguard Total Stock Market ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Dividends, Capital Gains,	\$15,001 - \$50,000
47.6.4.7	TMO - Thermo Fisher Scientific Inc Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
47.6.4.8	CP - Canadian Pacific Railway Ltd	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
47.6.4.9	VUG - Vanguard Growth ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
47.6.4.10	CRM - Salesforce.com Inc Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
47.6.4.11	NVDA - NVIDIA Corporation - Common Stock	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
47.6.4.12	VDIGX - Vanguard Specialized Portfolios Vanguard Divide	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
47.6.4.13	WCMIX - WCM Focused International Growth Fund Insti CI	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	None,	\$5,001 - \$15,000
47.6.4.14	Charles Schwab (Oz Media Brokerage) (San Francisco, CA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
47.6.5	JP Morgan Oz Media (New York, New York) <i>Type: Checking,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)
47.6.6	JP Morgan Asset Oz Media	Brokerage/Managed Account	Joint			
47.6.6.1	JP Morgan (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	\$1,000,001 - \$5,000,000	Interest,	\$201 - \$1,000
47.6.7	Web Domain and Other Trademarks <i>Description: Doctoroz.com and Other Trademarks Filer comment: web domain doctoroz.com and other trademarks associated with The Doctor Oz Show</i>	Intellectual Property Copyrights	Joint	Unascertainable	Rent/Royalties,	\$1,001 - \$2,500
48	Oz Property Holdings, LLC <i>Company: Oz Property Holdings, LLC (Delaware, DE) Description: Holding Company of assorted investments Filer comment: Filer and spouse ownership stake is 77.5 percent. Assets of entity reported in full.</i>	Business Entity Limited Liability Company (LLC)	Joint			
48.1	JP Morgan Chase (New York, NY) <i>Type: Checking,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)
48.2	JP Morgan Chase (New York, NY) <i>Type: Savings,</i>	Bank Deposit	Joint	None (or less than \$1,001)	Interest,	None (or less than \$201)
48.3	Schwab Brokerage -- Oz Property Holdings LLC <i>Filer comment: Filer and spouse ownership stake is 77.5 percent. Assets of entity reported in full. See assets 47.3.1-47.3.17.</i>	Brokerage/Managed Account	Joint			
48.3.18	BBH LIMITED DURATION I BBBIX	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
48.3.19	Cohen & Steers Preferred Sec CPXIX	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
48.3.20	American High Income Muni HIMFX	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
48.3.21	Janus Henderson High Yield JHYFX	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
48.3.22	PIMCO HY Muni PHMIX	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
48.3.23	Vanguard HY Corporate ADM VWEAX	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
48.3.24	Mainstay HY Muni Bond MMHIX	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
48.3.25	BlackRock HY Bond I BHYIX	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
48.3.26	PIMCO HY Inst PHIYX	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest,	\$15,001 - \$50,000
48.3.27	BlackRock Floating Rate Income BFRIX	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
48.3.28	Schwab Bank Sweep (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
48.3.29	Schwab Government Money Fund- SNVXX	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Interest,	\$2,501 - \$5,000
48.3.30	<u>FXAIX</u> - Fidelity 500 Index Fund	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
48.4	JP Morgan Investment <i>Filer comment: See assets 47.4.1-47.4.13.</i>	Brokerage/Managed Account	Joint			
48.5	JP Morgan OPH Asset Account <i>Filer comment: See assets 47.5.1-47.5.5.</i>	Brokerage/Managed Account	Joint			

	Asset	Asset Type	Owner	Value	Income Type	Income
48.6	Oz Media, LLC <i>Company:</i> Oz Media, LLC (Wilmington, Delaware) <i>Description:</i> Media Company <i>Filer comment:</i> See assets 47.6.4-47.6.7.	Business Entity Limited Liability Company (LLC)	Joint			
48.7	22 Enterprise Inc <i>Company:</i> 22 Enterprise Inc (Wilmington, DE) <i>Description:</i> Digital Media Company	Corporate Securities Non-Public Stock	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
48.8	Aibolit Technology Convertible Notes <i>Company:</i> Aibolit Technology (Wilmington, DE)	Accounts Receivable From a Business	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
48.9	Five Star Travel Corporation <i>Company:</i> Five Star Travel Corporation (Atlanta, GA) <i>Description:</i> DBA Forbes Travel Guide	Corporate Securities Non-Public Stock	Joint	\$5,000,001 - \$25,000,000	None,	None (or less than \$201)
48.10	Hudson Capital Landings, LLC <i>Company:</i> Hudson Capital Landings, LLC (New York, NY) <i>Description:</i> Real Estate Development <i>Filer comment:</i> property was sold on 12/7/21	Business Entity Limited Liability Company (LLC)	Joint	None (or less than \$1,001)	Other, (Partnership Distribution)	Other \$1,217,756.00
48.11	Hudson Capital Dunwoody, LLC <i>Company:</i> Hudson Capital Dunwoody, LLC (New York, New York) <i>Description:</i> Real Estate Development <i>Filer comment:</i> Property was sold on 11/17/21	Business Entity Limited Liability Company (LLC)	Joint	None (or less than \$1,001)	Other, (Partnership Distribution)	Other \$758,216.00
48.12	JungoTV, LLC <i>Company:</i> JungoTV, LLC (Wilmington, DE) <i>Description:</i> Media Company	Business Entity Limited Liability Company (LLC)	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
48.13	Mixlabs Inc. <i>Company:</i> Mixlabs Inc. (New York, NY) <i>Description:</i> Pet Pharmacy Company	Corporate Securities Non-Public Stock	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
48.14	Our Body Electric, LLC, <i>Company:</i> Our Body Electric, LLC, (Wilmington, DE) <i>Description:</i> Online Fitness Company	Business Entity Limited Liability Company (LLC)	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
48.15	Pantheryx Holdings LLC <i>Company:</i> Pantheryx Holdings LLC (Wilmington, Delaware) <i>Description:</i> Nutrition and biotechnology company	Business Entity Limited Liability Company (LLC)	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
48.16	Jana Strategic Investments Benchmark Fund <i>Description:</i> Fund (Cayman Islands, Cayman Island)	Investment Fund Private Equity Fund	Joint	\$500,001 - \$1,000,000	Other, (Partnership Income)	Other \$11,111.00
48.17	SHCR - Sharecare, Inc. - Class A Common Stock	Corporate Securities Stock	Joint	\$5,000,001 - \$25,000,000	None,	None (or less than \$201)
48.18	Sharecare Convertible Note <i>Company:</i> Sharecare (Delaware, DE) <i>Filer comment:</i> Note has been satisfied.	Accounts Receivable From a Business	Joint	None (or less than \$1,001)	None,	\$5,001 - \$15,000
48.19	YET2VENTURES NANOPACK INVESTORS, LLC <i>Company:</i> YET2VENTURES NANOPACK INVESTORS, LLC (Wilmington, DE) <i>Description:</i> Investment vehicle to invest in Nanopack	Business Entity Limited Liability Company (LLC)	Joint			
48.19.1	Non Public Stock <i>Company:</i> NanoPack, Inc. (Wayne, PA) <i>Description:</i> Materials Science Company	Corporate Securities Non-Public Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
48.20	Norwich Partners XI LLC <i>Company:</i> Norwich Partners XI LLC (Sanibel, Florida) <i>Description:</i> Real Estate Development	Business Entity Limited Liability Company (LLC)	Joint			
48.20.1	20805 Biscayne Blvd Aventura FL Commercial Property <i>Description:</i> 20805 Biscayne Blvd (Aventura, FL)	Real Estate Commercial	Joint	None (or less than \$1,001)	Other, (Partnership Income)	Other \$78,117.00
48.21	Inception Fertility Holdings <i>Company:</i> Inception Fertility Holdings (Wilmington, Delaware)	Accounts Receivable From a Business	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
48.22	Prelude Holding Company, LLC <i>Company:</i> Prelude Holding Company, LLC (Wilmington, Delaware) <i>Description:</i> Fertility clinic company	Business Entity Limited Liability Company (LLC)	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
48.23	Prelude Fertility Holdings, LLC <i>Company:</i> Prelude Fertility Holdings, LLC (Wilmington, Delaware) <i>Description:</i> Fertility Services Company	Business Entity Limited Liability Company (LLC)	Joint	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
48.24	Art.sy, Inc. <i>Company:</i> Art.sy, Inc. (New York, New York) <i>Description:</i> Online Art Marketplace	Corporate Securities Non-Public Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
48.25	Connect America, LLC Units <i>Company:</i> Connect America, LLC (Bala Cynwyd, PA) <i>Description:</i> Emergency Response Company	Business Entity Limited Liability Company (LLC)	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
48.26	Non-Public Stock Sharecare Inc. <i>Company:</i> Sharecare Inc. (Atlanta, GA) <i>Description:</i> health and wellness company <i>Filer comment:</i> Sharecare went public on July 2, 2021 and nonpublic shares exchanged for income and public shares (see asset 48.17)	Corporate Securities Non-Public Stock	Joint	None (or less than \$1,001)	Dividends,	\$100,001 - \$1,000,000
48.27	Lee Equity Strategic Partners Fund III, L.P. <i>Company:</i> Lee Equity Strategic Partners Fund III, L.P. (Wilmington, DE) <i>Description:</i> Pooled Investment Fund <i>Filer comment:</i> Alternative disclosure letter on file with Committee. Filer/spouse have no authority to direct/control investment.	Business Entity Limited Partnership (LP)	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
49	Schwab - Oz Works LLC 401k FBO Mehmet Oz	Retirement Plans Defined Contribution Pension Plan	Self			
49.1	Schwab Bank Sweep (New York, NY) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
49.2	CCSGX - Conestoga Smid Cap Fund Institutional Class	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
49.3	MFAIX - Morgan Stanley Insti Fd International Advantage	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
49.4	FNWFX - New World Fund Inc. - Class F-3	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
49.5	WCMIX - WCM Focused International Growth Fund Insti CI	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
49.6	<u>PRILX</u> - Parnassus Core Equity Fund-Institutional Shares	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
49.7	<u>POAGX</u> - Primecap Odyssey Aggressive Growth Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
49.8	<u>NFFFX</u> - New World Fund Inc. Class F-2 Shs	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
49.9	<u>SGIIX</u> - First Eagle Global Fund Class I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
49.10	<u>TBCIX</u> - T. Rowe Price Blue Chip Growth Fund - I Class	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
49.11	<u>VDIGX</u> - Vanguard Specialized Portfolios Vanguard Divide	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
49.12	<u>VB</u> - Smallcap ETF Vanguard	Mutual Funds Exchange Traded Fund/Note	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
49.13	<u>IVV</u> - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
49.14	<u>AAPL</u> - Apple Inc. - Common Stock	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
49.15	<u>MSFT</u> - Microsoft Corporation - Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
49.16	<u>AMZN</u> - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
49.17	<u>SCHD</u> - Schwab US Dividend Equity ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
49.18	<u>IJH</u> - iShares Core S&P Mid-Cap	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
49.19	<u>IJR</u> - iShares Core S&P Small-Cap	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
49.20	<u>Coupage Inc CPNG</u>	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
50	Oz Works LLC 401k FBO Lisa Oz	Retirement Plans Defined Contribution Pension Plan	Spouse			
50.1	Charles Schwab (San Francisco, CA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$500,001 - \$1,000,000	None,	None (or less than \$201)
50.2	<u>PRBLX</u> - Parnassus Core Equity Fund-Investor Shares	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
50.3	<u>POAGX</u> - Primecap Odyssey Aggressive Growth Fund	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
50.4	<u>NFFFX</u> - New World Fund Inc. Class F-2 Shs	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
50.5	<u>VDIGX</u> - Vanguard Specialized Portfolios Vanguard Divide	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
50.6	<u>NFFFX</u> - New World Fund Inc. Class F-2 Shs	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
50.7	Microsoft Corp MSFT	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
50.8	<u>AMZN</u> - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
50.9	<u>IJH</u> - iShares Core S&P Mid- Cap	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
50.10	<u>IVV</u> - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
50.11	<u>SCHD</u> - Schwab US Dividend Equity ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
50.12	<u>IJR</u> - iShares Core S&P Small- Cap	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
51	NGN BioMed Opportunity II, L.P. <i>Company:</i> NGN BioMed Opportunity II, L.P. (Wilmington, DE) <i>Description:</i> Pooled Investment Fund dedicated to healthcare investing.	Business Entity Limited Partnership (LP)	Self	\$250,001 - \$500,000	Excepted Investment Fund, Other, (Partnership Income)	\$50,001 - \$100,000 Other \$100,000.00
52	NGN BioMed Opportunity I, L.P. <i>Company:</i> NGN BioMed Opportunity I, L.P. (New York, NY) <i>Description:</i> Pooled Investment Fund Dedicated to healthcare investing.	Business Entity Limited Partnership (LP)	Self	\$1,001 - \$15,000	Dividends, Excepted Investment Fund,	None (or less than \$201)
53	Norwich Partners Altaria Fund IX LLC <i>Company:</i> Norwich Partners Altaria Fund IX LLC (Sanibel, FL) <i>Description:</i> Real Estate Development	Business Entity Limited Liability Company (LLC)	Self			
53.1	25 Foothill St Lebanon, NH <i>Description:</i> Commercial Real Estate (Lebanon, NH)	Real Estate Commercial	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
54	Radius Venture Partners III, LP <i>Description:</i> Venture Capital Fund (New York, NY) <i>Filer comment:</i> Alternative disclosure letter on file with Committee. Filer/spouse have no authority to direct/control investment.	Investment Fund Private Equity Fund	Self	\$50,001 - \$100,000	Other, (Partnership Income)	Other \$108,781.00
55	SleepScore Labs <i>Company:</i> Consumer Sleep Solutions LLC d/b/a SleepScore Labs (Carlsbad, CA) <i>Description:</i> Sleep improvement company	Business Entity Limited Liability Company (LLC)	Self	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
56	Norwich Partners Fund XIV, LLC <i>Company:</i> Norwich Partners Fund XIV, LLC (Sanibel, FL) <i>Description:</i> Real Estate Development	Business Entity Limited Liability Company (LLC)	Joint			
56.1	158 Fore St. Portland, ME <i>Description:</i> Commercial Real Estate (Portland, ME)	Real Estate Commercial	Joint	None (or less than \$1,001)	Other, (Partnership Income)	Other \$751,740.00
57	Norwich Partners Fund XVII, LLC <i>Company:</i> Norwich Partners XVII, LLC (Sanibel, FL) <i>Description:</i> Real Estate Development	Business Entity Limited Liability Company (LLC)	Joint			
57.1	383 Commercial St. Portland ME <i>Description:</i> Commercial Real Estate (Portland, ME)	Real Estate Commercial	Joint	None (or less than \$1,001)	Other, (Partnership Income)	Other \$117,624.00
58	Norwich Partners Fund XIII <i>Company:</i> Norwich Partners Fund XIII (Sanibel, FL) <i>Description:</i> Real Estate Development	Business Entity Limited Liability Company (LLC)	Joint			
58.1	2910 NE 207th Street, Aventura, FL <i>Description:</i> 2910 NE 207th Street, Aventura Commercial Real Estate (Sanibel, FL)	Real Estate Commercial	Joint	None (or less than \$1,001)	Other, (Partnership income)	Other \$344,199.00
59	Norwich Partners Fund XIX, LLC <i>Company:</i> Norwich Partners Fund XIX, LLC (Sanibel, FL) <i>Description:</i> Real Estate Development	Business Entity Limited Liability Company (LLC)	Joint			

	Asset	Asset Type	Owner	Value	Income Type	Income
59.1	443 East Shore Drive, Clearwater Beach FL <i>Description:</i> 443 East Shore Drive, Clearwater Beach, Commercial Real Estate (Sanibel, FL)	Real Estate Commercial	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
60	Norwich Partners Fund XVIII, LLC <i>Company:</i> Norwich Partners Fund XVIII, LLC (Sanibel, FL) <i>Description:</i> Norwich Partners Fund XVIII, LLC	Business Entity Limited Liability Company (LLC)	Joint			
60.1	393 Coronado Dr, Clearwater Beach, FL <i>Description:</i> 393 Coronado Dr, Clearwater Beach, Commercial Real Estate (Sanibel, FL)	Real Estate Commercial	Joint	\$100,001 - \$250,000	Other, (Partnership Income)	Other \$117,624.00
61	Norwich Partners Fund XV LLC <i>Company:</i> Norwich Partners Fund XV LLC (Sanibel, Florida) <i>Description:</i> Real Estate Development	Business Entity Limited Liability Company (LLC)	Joint			
61.1	Tremont Street Boston <i>Description:</i> Tremont Street Boston (Boston, MA)	Real Estate Commercial	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
62	Sal Lem Limited Partnership <i>Company:</i> Sal Lem Limited Partnership (Huntingdon Valley, PA) <i>Description:</i> Holds commercial real estate <i>Filer comment:</i> LP has not made a partnership distribution during the reporting period.	Business Entity Limited Partnership (LP)	Spouse			
62.1	Commercial Office Building Huntingdon Valley, PA <i>Description:</i> Commercial Office Building Huntingdon Valley, PA (Huntingdon Valley, PA)	Real Estate Commercial	Spouse	\$1,001 - \$15,000	Rent/Royalties,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
63	State of the Art Medical Products, INC <i>Company:</i> State of the Art Medical Products, INC (Cedar Grove, New Jersey) <i>Description:</i> High-Tech Medical Distributor	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

Part 4b. Transactions

Not required

Part 5. Gifts

Not required

Part 6. Travel

Not required

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2020	Joint	Mortgage	None	0.58%% (8 years and 11 months)	\$1,000,001 - \$5,000,000	Dr. Gerald Lemole and Emily Jane Lemole Huntingdon Valley, PA	n/a
2	2020	Joint	Promissory Note	-	0.58%% (8 years 11 months)	\$100,001 - \$250,000	Dr. Gerald Lemole and Emily Jane Lemole Huntingdon Valley, PA	n/a
3	2020	Spouse	Other (Loan)	-	2.6% (5 years)	\$5,000,001 - \$25,000,000	Oz Family 2014 Insurance Trust Wilmington, DE	n/a
4	2014	Spouse	Other (Renews Annually)	-	4.1227% (Annual)	Over \$1,000,000 (asset held independently by spouse or dependent child)	Oz Family 2014 Insurance Trust Wilmington, DE	Loan is obligation of Oz Family 2014 Insurance Trust for which filer's spouse is a beneficiary.
5	2020	Joint	Other (Margin Loan)	-	2.50% (on demand)	\$500,001 - \$1,000,000	Charles Schwab San Francisco, CA	Filer accesses loan against value of securities in filer's joint brokerage account intermittently.

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jul 2009 to Jan 2022	Employee	Zoco Productions, LLC Wilmington, DE	Company	n/a
2	Apr 2007 to present	Other (Member)	The Screen Actors Guild - American Federation of Television and Radio Artists (SAG-AFTRA) Los Angeles, CA	Other (Union)	n/a
3	Apr 2016 to present	Director	American Association for Thoracic Surgery Foundation Beverly, MA	Nonprofit Organization	n/a
4	Jun 1993 to Jul 2022	Other (Attending Physican)	NY Prebyterian Columbia Hospital New York, NY	Other (Hospital)	n/a
5	Jul 2009 to Jan 2022	Other (Host)	The Dr. Oz Show New York, NY	Company	n/a
6	Jul 2009 to Jan 2022	Other (Supervising Producer)	The Dr. Oz Show New York, NY	Company	n/a
7	Jan 1995 to present	Employee	Oz Works, LLC Cliffside Park, NJ	Company	Filer is also a shareholder.
8	Mar 2012 to present	Other (Member)	Oz Property Holdings, LLC Wilmington, DE	Company	n/a
9	Aug 2012 to present	Other (Owner)	Oz Media, LLC Wilmington, DE	Company	Oz Media LLC is the sole member of Oz Property Holdings LLC. Filer and spouse are the majority owners of Oz Property Holdings LLC.
10	May 2009 to present	Other (Owner)	Zoco Productions, LLC Wilmington, DE	Company	Zoco is the sole member of Oz Media LLC, which is the sole member of Oz Property Holdings LLC, of which filer and spouse are majority owners.
11	Aug 2004 to Dec 2021	Director	HealthCorps New York, NY	Nonprofit Organization	Also Founder and Chair Emeritus.
12	Jun 2016 to Dec 2021	Other (Board Member)	Jungo TV, LLC Los Angeles, CA	Company	n/a
13	Jun 2016 to Dec 2021	Other (Board Member)	Consumer Sleep Solutions d/b/a SleepScore Labs Calsbad, CA	Company	n/a

#	Position Dates	Position Held	Entity	Entity Type	Comments
14	Nov 2009 to Jul 2021	Director	Sharecare, Inc. Atlanta, GA	Corporation	n/a
15	Apr 2017 to present	Director	Ellis Island Honors Society Franklin Square, NY	Nonprofit Organization	n/a
16	Mar 2011 to present	Trustee	Mustafa Oz's Grandchildren's Trust Cliffside Park, NJ	Trust	n/a
17	Oct 2016 to Aug 2021	Other (Advisor)	IBeat, Inc. San Francisco, CA	Corporation	n/a
18	Sep 2017 to Dec 2021	Director	Pantheryx, Inc. Boulder, CO	Corporation	n/a
19	Feb 2022 to present	Consultant	Pantheryx, Inc. Bolder, CO	Corporation	Filer on leave of absence from consulting position.
20	Mar 2017 to present	Director	Palm Beach Civic Association, Inc. Palm Beach, FL	Nonprofit Organization	n/a
21	Jan 2009 to present	Other (Manager)	Oz Parents Apartments LLC New York, NY	Company	Filer has no ownership interest in the assets of the LLC.
22	Mar 2016 to present	Director	Prelude Holding Company Wilmington, DE	Company	n/a
23	May 2019 to present	Other (Member)	Zoco Holdings GP, LLC Wilmington, Delaware	Company	n/a
24	Jun 2017 to present	Other (Advisor)	Kairos New York, NY	Company	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Feb 2021	Pantheryx, Inc. / Oz Property Holdings, LLC Boulder, CO	Other (Consultant Agreement)	Three year consulting agreement. In return for filer's consulting services re: PanTheryx's colostrum products, Oz Property Holdings will be awarded 770,8933 shares of restricted stock in PanTheryx.	n/a
2	Aug 2000	Columbia University / Mehmet Oz New York, New York	Royalty Agreement	Filer and co-inventors assigned certain inventions relating to method and apparatus for Mitral Heart Valve Repair to Columbia University. Filer receives a share of license fees, royalties and income from the invention on an ongoing basis.	n/a
3	Dec 2020	Hearst Holdings, King Features Syndicate Division New York, NY	Other (Syndication Agreement)	A three-year agreement with Zoco Productions LLC under which filer agrees to write columns regarding healthcare topics. This agreement was terminated on January 11, 2022.	n/a
4	Apr 2007	The Screen Actors Guild - American Federation of Television and Radio Artists Chicago, IL	Other (Union Defined Benefit Plan)	Continuing participation in an employee benefit plan.	n/a
5	Aug 2020	Turkish Airlines, Inc. / Oz Media LLC Istanbul, Turkey	Other (Endorsement Agreement)	Endorsement agreement with Oz Media LLC under which Filer provides consulting services, certain media and in-flight film appearances.	n/a
6	May 2021	USANA / Oz Media, LLC Salt Lake City, Utah	Other (Promotional Agreement)	Act as a Brand Ambassador products of USANA exclusively in the category of healthcare supplements and skincare products. Agreement includes in person visits to the various territories USANA operates within.	n/a
7	Apr 2007	GEP Talent Burbank, CA	Other (Payroll Service)	Payroll service for multiple entertainment business vendors (residuals/fees).	n/a

#	Date	Parties Involved	Type	Status and Terms	Comments
8	May 2020	CVB, Inc d/b/a Malouf Logan, UT	Other (License Agreement)	Multi-year License agreement with CVB, Inc d/b/a Malouf to use certain licensed trademarks for manufacture, sale, promotion and distribution of adjustable frames, mattresses and bedding; projected to generate up to \$3.8M in sales royalties when the agreement terminates in 2025.	n/a
9	Jan 1995	Oz Works, LLC Cliffside Park, NJ	Continuing participation in an employee benefit plan	Continuing participation in employee 401(k) plan.	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report. Did any person or entity pay more than \$5,000 to you or for services provided by you? **Yes**

#	Source	Duties	Comments
1	Zoco Productions, LLC Wilmington, DE	Host and Supervising Producer for The Dr. Oz Show, which was produced by Zoco Productions, LLC.	n/a
2	Oz Works, LLC Cliffside Park, NJ	Consulting and appearances on behalf of Loan-out Company.	n/a
3	USANA Health Sciences, Inc. Salt Lake City, Utah	Filer made live and virtual speeches and presentations at USANA events and appeared at photo opportunities and other USANA events. Compensation paid to Oz Media, LLC.	n/a
4	USANA Health Sciences, Inc. and BabyCare LTD Salt Lake City, UT	Act as Brand Ambassador to promote USANA and BabyCare brands through certain public appearances, creation of promotional content, press interviews and meetings with other Brand Ambassadors and agents of the Companies. Compensation paid by BabyCare to Oz Media, LLC.	n/a
5	Turkish Airlines Istanbul, Turkey	Endorsement agreement with Oz Media LLC under which Filer provides consulting services, certain media and in-flight film appearances. Payment received from Lion Communications on behalf of Turkish Airlines.	n/a
6	CVB, Inc. d/b/a Malouf Logan, UT	Permitted use of licensed trademarks for manufacture, sale, promotion and distribution of adjustable frames, mattresses and bedding.	n/a
7	Columbia University New York, NY	Royalties derived from Patent License Agreement between Columbia University and Abbot for MitraClip.	n/a
8	Oz Media, LLC Wilmington, DE	Owner through Oz Property Holdings. Consulting and appearances.	n/a

Attachments & Comments

No attachments added.

No comments added.